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Approval for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

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|  |                        |                      |                   |
|--|------------------------|----------------------|-------------------|
|  |                        | Application Number   | 09/976,597        |
|  |                        | Filing Date          | October 12, 2001  |
|  |                        | First Named Inventor | Fries, William M. |
|  |                        | Group Art Unit       | TBD               |
|  |                        | Examiner Name        | TBD               |
| Total Number of Pages in This Submission | Attorney Docket Number |                      | 70683             |

## ENCLOSURES (check all that apply)

|   |  |   |                          |
|---|--|---|--------------------------|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>1. Form PTO-1449 (2 pages)<br>2. 30 References<br>3. Return Receipt Postcard | <input type="checkbox"/> |
|   |  |   | <input type="checkbox"/> |

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                 |                                    |
|-----------------|------------------------------------|
| Individual name | Scott J. Menghini, Reg. No. 42,880 |
| Signature       |                                    |
| Date            | July 12, 2002                      |

## CERTIFICATE OF MAILING

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| Typed or printed name | Scott J. Menghini |
| Signature             |                   |
| Date                  | July 12, 2002     |

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Docket No. 70683

7-19-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fries et al.

Application No.: 09/976,597

Filed: October 12, 2001

Title: SYSTEM FOR THE  
DECONTAMINATION OF FLUID  
PRODUCTS USING LIGHT

Group Art Unit: ~~TBD~~ 1744

Examiner: ~~TBD~~ TKern TCR

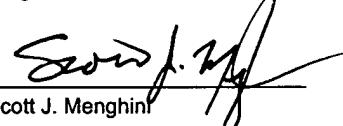
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07/12/02

Date

  
Scott J. Menghini  
Registration No.

Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last). However, should a first Office Action on the merits be mailed unbeknownst to the undersigned prior to the date of mailing of this paper, the fee requested under §1.17(p) may be charged to Deposit Account No. 06-1135.

Under 37 CFR §1.97(c) together with either:  
 a statement under 37 CFR §1.97(e), or  
 a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

Under 37 CFR §1.97(d) together with:  
 a statement under 37 CFR §1.97(e), and  
 a fee under 37 CFR §1.17(p)  
(after the 37 CFR §1.97(c) time period, but on or before payment of the issue fee).

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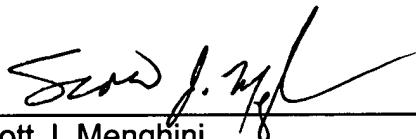
Application No. 09/976,597

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In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with thirty (30) references listed as items AA-BD.

It is requested that the information provided herein be made of record in this application.

Respectfully submitted,



Dated: July 12, 2002

Scott J. Menghini  
Attorney for Applicant(s)  
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